PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. Callister et al.

Serial No: 09/911.551

Filed: 7/23/2001

Title: Occluding Device and Method of Use

Docket No: 000687-000470/US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Group Art Unit: 3764

Examiner: Michael A Brown

Sir

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. These references may be material to examination of the above-identified application. However do not construe the filting of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

Applicant herewith submits documents related to litigation involving U.S. Patent Nos. 6,096,052 and 6,423,116 which are not related to the present application, but which may pertain to similar subject matter.

Civil Action No. C-02-1968 MHP (U.S. District Court, Northern District of California)
pertains to U.S. Patent No. 6,096,052; and Civil Action No. C 02-3884 MHP (U.S. District Court,
Northern District of California) pertains to U.S. Patent No. 6,096,052 and U.S. Patent No. 6,423,116.
U.S. Patent Nos. 6,096,052 and 6,423.116 are assiened to AMS Research Corporation.

This Information Disclosure Statement is being submitted:

	1. Within three months of the filing date of a national application other than a continued prosecution application under 3 C FR. 15:3(d), or within three months of the date of error of the national stage as forth in 37 CFR 1.491 in an international application, or before the mailing date of a first Office action on the metric, to before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114, and therefore, Applicant believes no fee is required;						
⊠	maili	ng da actio	te of eith	pecified in paragraph (1) hereinabove of this section, but is being filed before the er a final action under 37 CFR 1.113, or a notice of allowance under 37 CFR 1.311, errwise closes prosecution in the application, and is accompanied by one of the			
		(a)	A state	ement that either:			
		-	(i)	Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filling of the information disclosure statement;			
			OR				
			□ (ii)	No item of information contained in the information disclours statement was crited in a communication from a foreign parted office in counterpart freeign application, and, to the knowledge of the previous signing the certification after making reasonable inagistry, so term of information contained in the information disclours statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclours statement.			
		OR					
	\boxtimes	(b)		e of \$180 for filing of an Information Disclosure Statement as set forth C.F.R. 1.17(p).			
				pecified in paragraph (2) of this section, but is filed on or before payment of the impanied by both:			
	(a) A stat			ement that either:			
		-	□ (i)	Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;			
			OR				
		-	□ (ii)	No item of information contained in the information disclosure statement was crited in a commensation from a foreign parted office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquistry, no item of information contained in the information contained making the contraction of the information contained in the information contained in the information contained in the information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclosure statement, and the contraction of the information of the in			
			AND				
		(8) The fe CFR 1	e of \$180.00 for filing of an Information Disclosure Statement as set forth in 37 .17(p).			
Applic	ant w	ould	onnrec	iste the Evaminer initialing and signing a copy of Form PTO-1449			

transmitted herewith, indicating that the information has been considered and made of record herein.

Serial No. 09/911,551 Page 3 of 3

In the unlikely event that the transmittal letter is separated from this document and the Patent

Office determines that an extension and/or other relief is required, Applicant petitions for any
required relief including extensions of time and authorizes the Commissioner to charge the cost of
such petitions and/or other fees due in connection with the filing of this document to Deposit

Account No. 50-1901 referencing order number 000687-000470/US.

Respectfully submitted,

Barbara A. Wrigley, Reg. No. 34,950 Customer No. 34,205

April 13, 2006

Oppenheimer Wolff & Donnelly LLP 45 South Seventh Street, Suite 3300 Minneapolis, Minnesota 55402 Telephone: 612.607.7595 Facsimile: 612.607.7100 Email: Bwrielev@oopenheimer.com

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known 09/911,551 Application Number Filing Date 07/23/2001 First Named Inventor J. Callister et al Art Unit 3764 Examiner Name Michael A. Brown Attorney Docket Number 000687-000470/US

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			U.S. PATENT	DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentae or	Pages, Columns, Lines, Where	
Initials*	No.	Number - Kind Code ^{2 (Please)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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J. Callister et al First Named Inventor Art Unit 3764 Examiner Name Michael A. Brown Attorney Docket Number 000587-000470/US

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Attorney Docket Number

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Examiner Initials*	Citq No.	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁶ (If known)	Publication Date MM-DD-YYYY	Name of Patentae or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁰	
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				Art Unit	3764		
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country wheter published.	T²			
	80	Notice of Opposition (Australian Patent Application No. 769576) (April 27, 2004)				
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	85	Declaration of Dr. John F. Kerin (Australian Patent Application No. 769576) (November 19, 2004)				
	86	Second Declaration of Dr. John F. Kerin (Australian Patent Application No. 769576) (Novemer 19, 2004)				
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